Investor Complaints Data of Ashlar Securities Pvt. Ltd. including all Exchanges and Depository

Annexure – B

Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites on every month ending as per SEBI circular no SEBI/HO/MIRSD/DOP/P/CIR/2021/676 format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites.

For the month - March 2024

SN	Received from	Carried forward from previous	Received during the month	Total Pending	Resolved*	Pending at the end of the month**	Average Resolution time ^ (in days)
		month				Pending For less for more than than months	(iii days)
1	2	3	4	5	6	7	8
1	Directly from Investors	0	1	0	1	0	0
2	SEBI (SCORES)	1	1	0	2	0	0
3	Stock Exchanges	0	0	0	0	0	0
4	Depository	0	0	0	0	0	0
5	Other Sources (if any)	0	0	0	0	0	0
6	Grand Total	1	2	0	3	0	0

Trend of monthly disposal of complaints

2023-2024

SN	Month	Broker				Depository			
		Carried forward from previous month	Received	Resolved*		Carried forward from previous month	Received	Resolved *	Pending**
1	Apr-23	0	1	0	1	0	0	0	0
2	May-23	1	6	7	0	0	0	0	0
3	Jun-23	0	0	0	0	0	0	0	0
4	Jul-23	0	2	1	1	0	0	0	0
5	Aug-23	1	2	3	0	0	0	0	0
6	Sep-23	0	3	2	1	0	0	0	0
7	Oct-23	1	0	1	0	0	0	0	0
8	Nov-23	0	0	0	0	0	0	0	0
9	Dec-23	0	1	1	0	0	0	0	0
10	Jan-24	0	0	0	0	0	0	0	0
11	Feb-24	0	2	1	1	0	0	0	0
12	Mar-24	1	2	3	0	0	0	0	0
			19	19	0		0	0	0

Trend of annual disposal of complaints

SN	F.Y. Year (April-	- Broker				Depository			
		Carried forward from previous year from previous		during the			Received during the year	during	Pending at the end of the year
1	2020-21	0	4	4	0	0	0	0	0
2	2021-22	0	11	9	2	0	0	0	0
3	2022-23	2	9	11	0	0	2	2	0
4	2023-24	0	19	19	0	0	0	0	0